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I, JULIE BILLINGSLEY, TEAM LEADER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. PR 6689 for a patent by RESEARCH SURGICAL PTY LTD as filed on 31 July 2001.



WITNESS my hand this  
Fifth day of August 2002

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**PROVISIONAL SPECIFICATION**  
for the invention entitled:

**"Surgical Clamps"**

The invention is described in the following statement:

## SURGICAL CLAMPS

The present invention relates to clamps for surgical use, both human and veterinary. More particularly the invention relates to clamps for occluding coronary  
5 arteries and other arteries used to perform bypass grafts to coronary arteries, being suitable also for use with other vessels or tube-like structures of generally similar dimensions in humans or animals to compress the structure to prevent passage of blood or other fluid.

When performing "off pump" coronary artery bypass surgery (CAGS), the  
10 intention is to perform the bypass without stopping the heart. Therefore it is necessary to occlude the coronary artery by means of clamps on either side of a small incision made in the artery to which the bypass artery conduit will be sewn. Accordingly blood flow is temporarily prevented to part of the heart whilst the grafting is being performed. Subsequently, the clamps are removed and the coronary artery then receives  
15 supplementary blood flow via the graft.

One form of existing clamp for this purpose is a plastic derivation of the traditional metal "bulldog" clamp but smaller and lighter. It works by being opened with finger pressure and closed by the inherent resilience of the material. Frequently, the clamping  
20 pressure provided is not sufficient to adequately control blood flow. Also it is relatively long, making it difficult to fit easily within the stabilizing arms of an associated retractor device. It can become displaced perpendicularly to the heart such that it impedes the vision of the surgeon as well as catching the suture thread during grafting. Metal versions of the clamp also suffer from disadvantages due to their weight which results in counter-  
25 forces existing due to their inertia when attached to the moving heart. Consequently, they also frequently dislodge. Their greater size makes placement in confined spaces even more difficult.

Existing clamps for use on the conduits (arteries to be used as grafts) tend either to  
30 be the metal version of the "bulldog" clamp or a plastic spring-loaded clamp. The weight of the metal clamps results in substantial "drag" on the conduit during grafting which adds

to the difficulty of the graft. The plastic spring-loaded clamp comprises spring-loaded vice-like jaws and is larger and its bulk makes it less suitable in confined spaces, resulting in additional and redundant lengths of conduit being required where more than one graft is performed for each conduit (sequential anastomoses).

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According to the present invention, there is provided a clamp for surgical use comprising opposed clamping members pivotally movable one relative to the other between an open condition and a closed clamping condition, and a ratchet device operative during closing movement to retain the clamping members in a selected, closed, clamping condition.

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Advantageously, the ratchet device comprises a series of ratchet teeth in an arcuate array centred on the axis of pivotal movement of the clamping members, and a ratchet tooth movable with one of said clamping members upon pivotal movement of that clamping member relative to the other clamping member to move along the array of ratchet teeth to be retained thereby in a selected clamping position.

15

A particularly preferred embodiment of the invention comprises a pair of arms pivotally interconnected at adjacent ends for pivotal movement between an open configuration in which the arms define a V-shaped configuration and a closed configuration in which the arms are approximately parallel, one of the arms having at its end remote from the pivot the ratchet tooth and the other arm having on its end remote from the pivot a limb formed with said array of ratchet teeth with which the ratchet tooth engages upon closure of the two arms, each of the clamping members being carried by a respective one of the two arms.

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Advantageously, the clamping members are of post-like form extending in a plane directed transversely to a plane containing the arms of the clamp.

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Advantageously, the clamp is formed as a one piece plastics moulding with the pivot being formed by a hinge consisting of a flexible web of plastics material

interconnecting the adjacent ends of the two arms.

An embodiment of the invention will now be described, by way of example only, with reference to the accompanying drawings, in which:-

5        Figure 1 is a plan view of a clamp in accordance with the preferred embodiment of the invention;

Figure 2 is a schematic view of the clamp looking in the direction of arrow A in Figure 1;

10       Figure 3 is a detail looking in the direction of arrow B in Figure 1 and showing a clamping post; and

Figure 3a is a detail view of the clamping post at right angles to the view of Figure 3.

15       An arterial clamp in accordance with the preferred embodiment of the invention is formed as a one piece moulding in a suitable plastics (for example, homopolymer polypropylene or acetal copolymer) and consists of opposed arms 2, 4 pivotally connected at one end by a hinge 6 formed by a flexible web of the plastic material so as to be movable from a substantially V-shaped open configuration as shown in Figure 1, to a closed clamping configuration in which the arms 2, 4 are approximately parallel. The outer end of the arm 2 is formed with a projecting limb 8 having on its inner face a set of ratchet teeth 10, the teeth 10 extending along a curved path centred on the pivotal axis of the hinge 6 connecting the two arms 2, 4. The outer end of the arm 4 is formed with a ratchet tooth 12 to co-operate with the ratchet teeth 10. Each of the arms 2, 4 is formed with a clamping post 14 projecting substantially perpendicular to the plane of the arms 2, 25       4, the posts 14 forming the actual clamping members of the clamp. In use, the two posts 14 lie on opposite sides of the artery and to clamp the artery the two arms 2, 4 are closed by pivotal movement about the hinge 6 with the ratchet tooth 12 at the end of the arm 4 moving along the ratchet teeth 10 on the projecting limb 8 until the required clamping condition has been attained, with the arms 2, 4 being positively held in that condition by 30       the one way action of the ratchet teeth.

The clamp is able to be positioned, manipulated, and closed by forceps or the like engaging the outer sides of the two arms 2,4 for which purpose the sides are preferably provided with a series of small projections 16 along their length to facilitate retention by the forceps. The arms 2,4 are each also provided with holes 18 for receiving the tips of the forceps, to  
5 provide further versatility.

With the clamp described above, the surgeon can apply whatever clamping pressure is considered appropriate to stop fluid flow and once applied, that pressure will be maintained due to the locking effect provided on the two arms 2, 4 by the ratchet action  
10 provided by the interengaging teeth 10, 12. To release the clamp, all that is necessary is to displace the projecting limb 8 laterally out of the plane of the second arm 4 to thereby release the teeth 10 from engagement with the tooth 12. This can readily be achieved due to the inherent flexibility of the plastics material.

15 In the embodiment shown, the two clamping posts 14 are of tapered shape, narrowing towards their distal end. The clamping face 14a of each post 14 is a planar face and the post 14 is elongated in transverse cross section, with the elongation serving to maximise the resistance to deflection of the clamping post 14 under the clamping pressures. The clamping face 14a may be formed with a series of very small ridges or  
20 depressions to facilitate improved grip between the face and the tissue. The distal end of each clamping post 14 may also be provided with a small lip to aid retention. Although the clamping posts 14 may extend in a plane at right angles to the plane of the arms 2, 4, alternatively they may be positioned in a plane inclined out of that 90° plane and away from the ratchet limb 8 so that the ratchet limb 8 is "lifted" a little further away from the  
25 zone of clamping of the two clamping posts 14.

By way of illustration, the length of the clamp from the hinge 6 to the outer side of the ratchet limb 8 is about 10 mm which makes the clamp significantly smaller than the existing forms of clamp previously discussed, this substantial size reduction being obtained  
30 due to the basic shape as illustrated incorporating the locking ratchet mechanism. Accordingly, the ratchet mechanism not only allows the clamping force to be controlled

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and maintained it is also used to achieve a substantial reduction in overall length which allows for easier positioning in confined spaces.

5 The shape of the clamp makes it much less prone to projecting perpendicular to the surface of the heart and so less prone to catching the suture material during the construction of the anastomosis. The plastic construction reduces the weight substantially in comparison to metal and therefore the clamp will easily move with the heart and so not be prone to dislodgement consequent on heart motion; nor will this drag the conduit excessively if used to occlude the conduit.

10

It is envisaged that the clamp would be single use only and not intended for re-cleaning and re-sterilization. In fact re-sterilization would be very difficult due to the presence of the depressions/holes provided to allow the clamp to be grasped by forceps or other devices to allow positioning and tightening, preventing adequate cleaning and  
15 removal of blood from the clamp.

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It would be intended that two such clamps would be used to occlude a single coronary artery and a further one for the conduit. Within each operation, the clamps could be used on more than one coronary artery.

The size of coronary arteries grafted ranges from 1.0 mm to 2.5 mm in diameter, with most being 1.5 – 2.0 mm. The size of conduits usually ranges from 1.75 mm to 3 – 4 mm. These sizes would be relatively similar to many arteries or veins used in other forms of vascular or plastic surgery where arteries are being joined together or transplanted. In  
25 other disciplines of surgery, arteries of this size are frequently encountered and sometimes, temporarily occluded for varying reasons or alternatively joined together. Consequently, even without any modification, the clamp may have potential use outside of "off pump CAGS". Size or other minor changes to the design, may allow an even broader range of applications for the clamp for other surgical procedures on humans or on animals.

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The embodiments have been described by way of example only and modifications are possible within the scope of the invention.

5 DATED this 31st day of July, 2001  
RESEARCH SURGICAL PTY. LTD.  
By its Patent Attorneys:  
DAVIES COLLISON CAVE



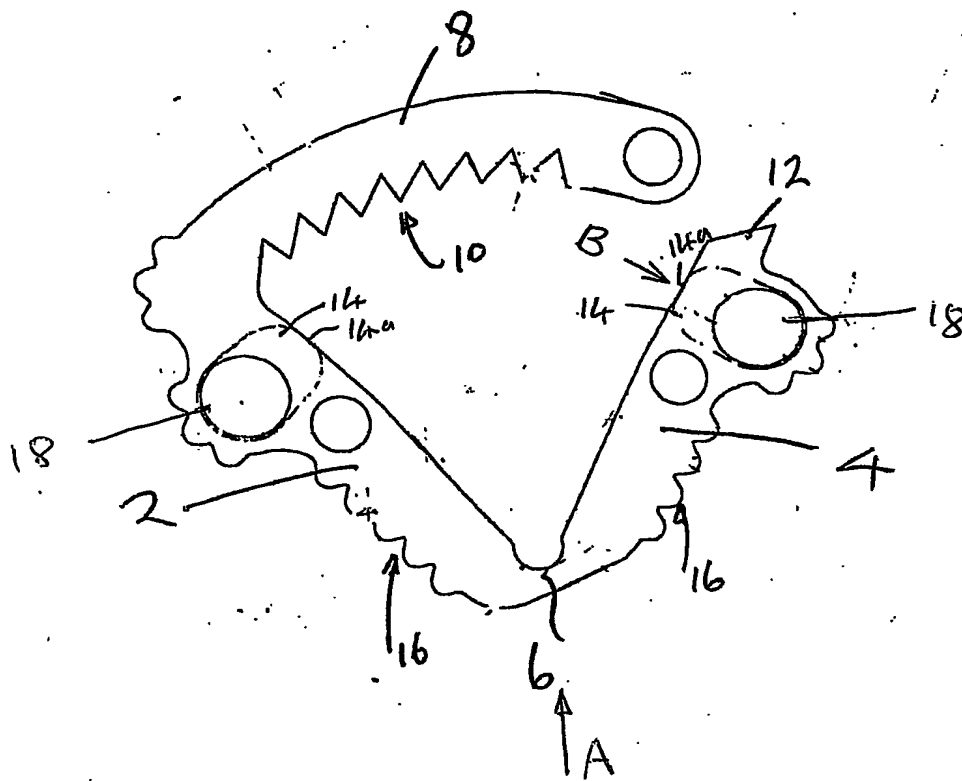


FIG. 1

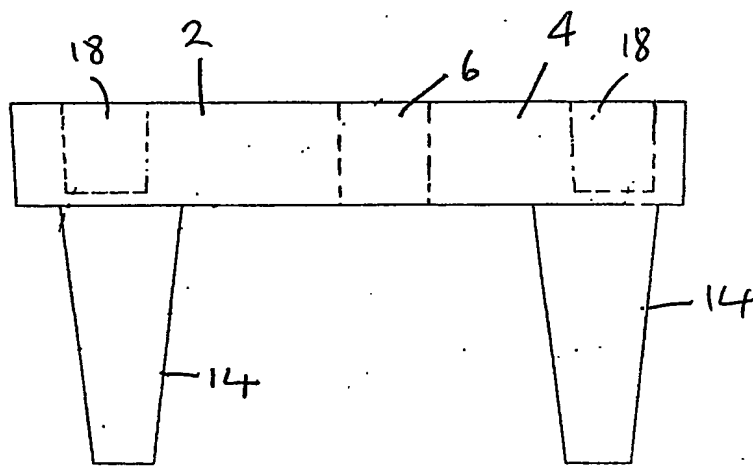


FIG. 2

